

2786 5/6/95  
2782

CAL 2786

PATENT

Attorney's Matter No. 3382-51286

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Galen C. Hunt

Application No.: 09/196,836

Filed: November 20, 1998

For: INSTRUMENTATION FOR RELATING  
INTERFACES AND UNITS, WRAPPING  
INTERFACES, HANDLING UNDOCUMENTED  
INTERFACES, AND DETECTING PAIR-WISE  
LOCATION CONSTRAINTS

Date: February 16, 1999



Art Unit: 2786

CERTIFICATE OF MAILING

I hereby certify that this paper and the documents referred to as being attached or enclosed herewith are being deposited with the United States Postal Service on February 16, 1999, as First Class Mail in an envelope addressed to: ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON D.C. 20231.

*Stephen A. Wight*  
Stephen A. Wight  
Attorney for Applicant

FEB 25 1999

Group 2700

RECEIVED

MAR 01 1999

GROUP 2100

TRANSMITTAL LETTERTO THE ASSISTANT COMMISSIONER FOR PATENTS  
Washington, DC 20231

Enclosed for filing in the above-referenced application is the following:

- Information Disclosure Statement Pursuant to 37 C.F.R. § 1.97, Form PTO-1449, and copies of references cited.
- The Commissioner is hereby authorized to charge any additional fees which may be required, or credit over-payment, to Account No. 02-4550. A copy of this sheet is enclosed.
- Please return the enclosed postcard to confirm that the items listed above have been received.

RECEIVED

Respectfully submitted,

MAR 05 1999

KLARQUIST SPARKMAN CAMPBELL  
LEIGH & WHINSTON, LLP

Group 2700

By *Stephen A. Wight*  
Stephen A. Wight  
Registration No. 37,759

One World Trade Center, Suite 1600  
121 S.W. Salmon Street  
Portland, Oregon 97204  
Telephone: (503) 226-7391; Facsimile: (503) 228-9446

cc: MS Patent Group Docketing (w/ enclosures)  
Megan W. Thomas (w/o enclosures)



PATENT

Atty. Ref. No. 3382-51286

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Galen C. Hunt

Application No.: 09/196,836

Filed: November 20, 1998

Art Unit: 2786

For: INSTRUMENTATION FOR RELATING  
 INTERFACES AND UNITS, WRAPPING  
 INTERFACES, HANDLING  
 UNDOCUMENTED INTERFACES, AND  
 DETECTING PAIR-WISE LOCATION  
 CONSTRAINTS

Date: February 16, 1999

Assistant Commissioner for Patents  
 Washington, D.C. 20231

## CERTIFICATE OF MAILING

I hereby certify that this paper and the documents referred to as being attached or enclosed herewith are being deposited with the United States Postal Service on February 16, 1999 as First Class Mail in an envelope addressed to: ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231.

Stephen A. Wight  
 Attorney for Applicant

INFORMATION DISCLOSURE STATEMENT

Applicant submits herewith information of which he is aware, and which he believes may be material to the examination of the application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. §1.56. The information is listed on the attached Form PTO-1449 and copies are enclosed.

Applicant call the Examiner's attention to the following co-pending U.S. patent applications, which are commonly assigned as the above-referenced patent application:

1) Application No. 09/197,080

For: APPLICATION PROFILING USING STRUCTURAL METADATA  
 DESCRIPTION FOR NETWORK-INDEPENDENT AUTOMATIC  
 PARTITIONING AND DISTRIBUTION

Applicant: Galen C. Hunt

RECEIVED  
 MAR 01 1999

2) Application No. 09/197,009

For: HEAVYWEIGHT AND LIGHTWEIGHT INSTRUMENTATION  
 Applicant: Galen C. Hunt

GROUP 2100

3) Application No. 09/197,246

For: REVERSIBLE LOAD-TIME DYNAMIC LINKING  
 Applicant: Galen C. Hunt

- 4) Application No. 09/197,242  
For DYNAMIC CLASSIFICATION OF SECTIONS OF SOFTWARE  
Applicant: Galen C. Hunt
- 5) Application No. 09/197,211  
For SUMMARIZED APPLICATION PROFILING AND QUICK NETWORK PROFILING  
Applicant: Galen C. Hunt
- 6) Application No. 09/197,226  
For AUTOMATIC DETECTION OF PER-UNIT LOCATION CONSTRAINTS  
Applicant: Galen C. Hunt
- 7) Application No. 09/196,974  
For INTERCEPTION OF UNIT CREATION REQUESTS BY AN AUTOMATIC DISTRIBUTED PARTITIONING SYSTEM  
Applicant: Galen C. Hunt

In view of the secrecy provisions of 37 C.F.R. §1.14, Applicants have not provided a copy of the above-referenced co-pending U.S. patent applications because submitting a copy of these patent applications could be viewed as a waiver of the confidentiality that the Applicant is guaranteed under 35 U.S.C. § 122 and 37 C.F.R. § 1.14. Applicants have not listed these co-pending applications on the accompanying 1449 form and request that they not be listed on the face of the patent, in the event that it issues, to preserve their secrecy.

If a fee is required under 37 C.F.R. § 1.17(p), the Commissioner is hereby authorized to charge Deposit Account No. 02-4550.

Respectfully submitted,

KLARQUIST SPARKMAN CAMPBELL  
LEIGH & WHINSTON, LLP

By:   
Stephen A. Wight  
Registration No. 37,759

RECEIVED

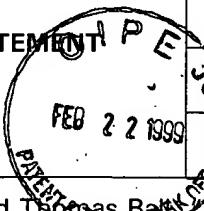
MAR 01 1999

GROUP 2100

One World Trade Center, Suite 1600  
121 S.W. Salmon Street  
Portland, Oregon 97204  
Telephone: (503) 226-7391  
Facsimile: (503) 228-9446

<b>INFORMATION DISCLOSURE STATEMENT</b> <b>BY APPLICANT</b>		Docket: 3382-51286		App: 09/196,836			
		Applicant: Galen C. Hunt					
		Filed: 11.20.98	Group: 2786				
<b>U.S. PATENT DOCUMENTS</b>							
Init. *		Number	Date	Name	Class	Sub	Filed
		3,427,443	02.11.69	C.T. Apple et al.			
		3,551,659	12.29.70	Forsythe			
		4,819,233	04.04.89	Delucia et al.			
		5,579,520	11.26.96	Bennett			
		5,752,038	05.12.98	Blake et al.			
		5,790,858	08.04.98	Vogel			
<b>FOREIGN PATENT DOCUMENTS</b>							
		Number	Date	Country	Class	Sub	
<b>OTHER DOCUMENTS</b>							
		Stephen J. Hartley, "Compile-Time Program Restructuring in Multiprogrammed Virtual Memory Systems," <i>IEEE Transactions on Software Engineering</i> , Vol. 14, No. 11, November 1988					
		R.R. Heisch, "Trace-directed program restructuring for AIX executables," <i>IBM J. Res. Develop.</i> , Vol. 38, No. 5, September 1994					
		Karl Pettis and Robert C. Hansen, "Profile Guided Code Positioning," Proceedings of the ACM SIGPLAN'90, Conference on Programming Language, Design and Implementation, June 20-22, 1990.					
		<b>RECEIVED</b> <b>MAR 01 1999</b> Chang et al., "Using Profile Information to Assist Classic Code Optimizations," <i>Software-Practice and Experience</i> , Vol. 21(12), 1301-1321, December 1991 <b>GPO 20100</b>					
		Speer et al., "Improving UNIX Kernel Performance using Profile Based Optimizations," 1994 Winter USENIX, January 17-21, 1994, San Francisco, CA					
		Thomas Ball and James R. Larus, "Optimally Profiling and Tracing Program," <i>University of Wisconsin Computer Sciences Technical Report 1031</i> , September 6, 1991					

INFORMATION DISCLOSURE STATEMENT			Docket: 3382-51286	App: 09/196,836
BY APPLICANT			Applicant: Galen C. Hunt	
			Filed: 11.20.98	Group: 2786
			<p>James R. Larus and Thomas R. Raskin, "Rewriting Executable Files to Measure Program Behavior," <i>University of Wisconsin Computer Sciences Technical Report 1083</i>, March 25, 1992</p> <p>Vivek Sarkar, "Determining Average Program Execution Times and their Variance," <i>ACM SIGART Newsletter</i>, 0-89791-306/X/89/0006/0298, 1989</p> <p>Robert Endre Tarjan, "Data Structures and Network Algorithms," CBMS-NSF Regional Conference Series in Applied Mathematics, Chapter 6, 71-83,1983</p>	
EXAMINER:			DATE	
<p>*Examiner: Initial if considered, whether or not in conformance with MPEP 60; draw line through cite if not in conformance and not considered. Send copy.</p>				



RECEIVED  
FEB 25 1999

Group 2700